

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	09/783,069	Confirmation No.:	3260
First Named Inventor:	Robert J. Small	Filing Date:	February 13, 2001
Group Art Unit:	2818	Examiner:	Thao P. le
Atty. Docket No.:	M-9727 US		
Title:	Chemical-Mechanical Planarization Using Ozone		
Assignee:	EKC Technology, Inc.		

San Francisco, California
January 31, 2003

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants call the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

☒ Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any listed document), or (3) an admission that the listed information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). Please charge the \$180.00 fee as set forth in § 1.17(p) against Deposit Account No. 19-2386. The Commissioner is further authorized to charge any additional fee that is required, or to credit any overpayment, against Deposit Account No. 19-2386. This paper is submitted in duplicate to facilitate Deposit Account payment.

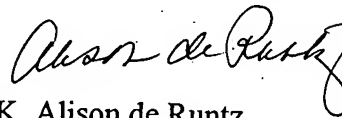
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As far as the undersigned attorney can determine, the cited documents should not affect the patentability of any claims allowed in this application.

EXPRESS MAIL LABEL NO:

EV 259 165 416 US

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				M-9727 US		09/783,069	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants		Confirmation No.	
(Use several sheets if necessary)				Robert J. Small		3260	
				Filing Date		Group	
				February 13, 2001		2818	

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	6,110,830	Aug. 29, 2000	Skrovan et al.			
	AB	4,086,152	Apr. 25, 1978	Rich et al.			
	AC	4,064,660	Dec. 27, 1977	Lampert			
	AD	2001/0027798 A	Oct. 11, 2001	Flierl et al.			

Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AE	11087285	30/03/1999	Japan/Abstract				
	AF	11087285	30/03/1999	Japan				
	AG	00/17281	30/03/2000	WIPO				
	AH	0,846 742 A2	10/06/1998	EPO				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	AI International Search Report PCT/US02/03453.

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.